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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,512	02/11/2000	Mototsugu Abe	9792909-4921

Sonnenschein Nath & Rosenthal
P. O. Box 061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606-1080

COPY OF PAPERS
ORIGINALLY FILED

CONFIRMATION NO. 3858

FORMALITIES LETTER



OC000000007225014

Date Mailed: 12/21/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 10/12/2001 to the Notice to File Missing Parts (Notice) mailed 02/08/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

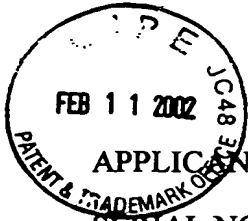
02/13/2002 SMINASS1 00000019 193140 09734512

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BOX MISSING PARTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Mototsugu Abe, et al. ATTY. DOCKET NO. 09792909-4921
SERIAL NO. 09/734,512 GROUP ART UNIT: 2615
DATE FILED: December 11, 2000 EXAMINER:
INVENTION: "AUDIO SIGNAL PROCESSING METHOD, AUDIO SIGNAL
PROCESSING APPARATUS, HI-FE VIDEO APPARATUS, DIGITAL
VIDEO APPARATUS AND 8MM VIDEO APPARATUS"

SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents
Washington, D.C. 20231

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S I R:

In response to the Notice of Incomplete Reply (NonProvisional) dated December 21, 2001, the undersigned submits an executed Oath and Declaration as executed by the inventor(s) on April 20, 23, May 2 and 8, 2001. Also enclosed is a copy of the Cover Letter For Resending Correspondence dated January 3, 2002, which was mailed to applicants' attorneys in response to a telephone call to the U.S.P.T.O. regarding the Notice to File Missing Parts, which had never been received by applicants' attorneys. Please note that the above-identified patent application was filed by another firm, Limbach & Limbach, which was dissolved early in 2001. Any correspondence sent by the U.S.P.T.O. to that firm regarding applications which had been reassigned to other firms was delayed in reaching the new firms. It was not until applicants' new attorneys received the Notice of Incomplete Reply dated December 21, 2001, when a telephone call was made to the U.S.P.T.O. regarding this application that the existence of the Notice to File Missing Parts was known.

The Commissioner is requested to charge the amount of \$130.00 to cover the submission fee for missing parts under 37 C.F.R. §1.16(e) to Deposit Account No. 19-3140. However, the

Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

 (Reg. No. 32,919)

David R. Metzger
SONNENSCHN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station - Sears Tower
Chicago, Illinois 60606
Telephone 312/876-8000
Customer ID #26263
Attorneys for Applicants

CERTIFICATE OF MAILING

I hereby certify that a true copy of the foregoing Submission of Missing Parts was forwarded to the United States Patent Office via U.S. First Class mail on January 14, 2002.





UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILED DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/734,512	12/11/2000	Mototsugu Abe	9792909-4921

FEB 11 2002

PATENT & TRADEMARK OFFICE

CONFIRMATION NO. 3858

RESEND COVER LETTER



OC000000007262646

Sonnenschein Nath & Rosenthal
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Wacker Drive Station - Sears Tower
Chicago, IL 60606-1080

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Date Mailed: 01/03/2002

COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 12/21/2001 is enclosed. The time period for reply continues to run from the mail date of that Notice.

*A copy of this notice **MUST** be returned with the reply.*

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FEB 11 2002

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/734,512	02/11/2000	Mototsugu Abe	9792909-4921

CONFIRMATION NO. 3858

RESEND COVER LETTER



OC000000007262636

Sonnenschein Nath & Rosenthal
P. O. Box 061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606-1080

Date Mailed: 01/03/2002

COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 02/08/2001 is enclosed. The time period for reply continues to run from the mail date of that Notice.

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*A copy of this notice **MUST** be returned with the reply.*

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,512	12/11/2000	Mototsugu Abe	SONY-U0528

Charles P. Sammut
Limbach & Limbach L.L.P.
2001 Ferry Building
San Francisco, CA 94111

CONFIRMATION NO. 3858

FORMALITIES LETTER



OC000000005747919

Date Mailed: 02/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

fhairc
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PART 3 - OFFICE COPY

